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*Admitted in U.S. Patent and Trademark Office

†Admitted in California, Hawaii & Nevada

‡Admitted in Illinois & Nevada

August 6, 2001

Box NON FEE AMENDMENT
Assistant Commissioner for Patents
Washington, DC 20231

In re application of: William A. Honjas et al.

Serial number: 09/811,071

Filed: March 16, 2001

Title: Optimization Apparatus, System, and Method of Use and Doing Business

Attorney docket number: 677.478 Optim-UA-Optimization Apparatus, System

Dear Sir:

The following is/are submitted to the Patent and Trademark Office for appropriate action:

1. An Information Disclosure Statement by Applicant.
2. Three (3) references:
 - a. Wang et al., Patent Number: 5,991,695,
 - b. Stringer et al., Patent Number: 5,987,125, and
 - c. Bernitsas, Patent Number: 5,570,321.
3. A return receipt postcard.

Cordially yours,

Ian F. Burns

Registration Number: 33,297

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

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Date: August 6, 2001

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Sheryl Hodge
Signature

Sheryl Hodge
Name

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	09/811,071
				Filing Date	03/16/2001
				First Named Inventor	William Honjas et al.
				Group Art Unit	
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	677.478

[illegible][illegible]

Examiner Signature		Date Considered	
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Complete if Known

Application Number	09/811,071
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First Named Inventor	William Honjas
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(use as many sheets as necessary)

Sheet 1 of 1

[illegible][illegible]

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